

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen Maxwell Taylor  
Ian Alexander Shiels  
Lindsay Charles Brown  
Michael Whitehouse  
(As Amended)

§  
§  
§  
§

Confirmation Number: 1791

Application Number: 10/510,614  
PCT Number: PCT/AU03/00415

§  
§  
§

Group Art Unit: Unknown

International Filing Date: 7 April 2003

§  
§

Examiner: Unknown

For: USE OF C5A RECEPTOR  
ANTAGONIST IN THE  
TREATMENT OF FIBROSIS

§  
§

Attorney Docket No: 36677.30  
(formerly 4050.003000)

STATEMENT OF JOINT INVENTOR MICHAEL WHITEHOUSE

UNDER 37 C. F. R. § 1.497(d)(1)

Mail Stop PCT  
Commissioner for Patents  
Office of Legal Administration  
P.O. Box 1450  
Alexandria, VA 22313-1450

|  |
|--|
| Certificate of Mailing 37 C. F. R. § 1.8<br>I hereby certify that this correspondence is being deposited with<br>the U. S. Postal Service with sufficient postage as First Class Mail<br>on the date indicated below and is addressed to: Mail Stop PCT ,<br>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-<br>1450. |
| <u>May 3, 2006</u><br>Date of Deposit  |
| <u>AUSTIN L. BROWN</u><br>Name of person mailing paper and fee   |
| <u>Austin L. Brown</u><br>Signature of person mailing paper and fee  |

Sir:

I, MICHAEL WHITEHOUSE, HEREBY DECLARE AS FOLLOWS:

1. The PCT application to which the above-referenced U.S. national stage application claims priority was originally filed listing Stephen Maxwell Taylor, Ian Alexander Shiels, and Lindsay Charles Brown, as joint inventors.

mw

2. It was determined that I, Michael Whitehouse, am also a proper joint inventor for the above-referenced U.S. national stage application.
3. I was added as a joint inventor after entry in the US national stage application by Applicants' filing a Declaration on 11 October 2005 (A copy of which is attached hereto).
4. My omission as a co-inventor of the PCT application was an inadvertent oversight, which occurred without deceptive intent on my part.
5. My omission as a co-inventor on the above-referenced U.S. national stage application, was also an inadvertent oversight, which also occurred without deceptive intent on my part.
6. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U. S. C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

7th April 2006  
Date

Michael Whitehouse  
Michael Whitehouse

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen Maxwell Taylor

Ian Alexander Shields

Lindsay Charles Brown

Michael Whitehouse (As Amended)

Application Number: 10/510,614

PCT Number: PCT/AU03/00415

International Filing Date: 7 April 2003

For: USE OF C5A RECEPTOR ANTAGONIST  
IN THE TREATMENT OF FIBROSIS

§  
§ Group Art Unit: Unknown  
§  
§ Examiner: Unknown  
§  
§ Attorney Docket No.: 36677.30  
§ (formerly: 4050.003000)  
§  
§  
§  
§  
§ Confirmation Number: 1791  
§

CONSENT OF ASSIGNEE TO ADD INVENTOR

Mail Stop PCT  
Commissioner for Patents  
Office of PCT Legal Administration  
P.O. Box 1450  
Alexandria, VA 22313-1450

|   |
|---|
| <p>Certificate of Mailing 37 C. F. R. § 1.8<br/>I hereby certify that this correspondence is being deposited with<br/>the U. S. Postal Service with sufficient postage as First Class Mail<br/>on the date indicated below and is addressed to: Mail Stop PCT,<br/>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-<br/>1450.</p> <p><u>May 3, 2006</u><br/>Date of Deposit</p> <p><u>AUTREY L BROWN</u><br/>Name of person mailing paper and fee</p> <p><u>Autrey L. Brown</u><br/>Signature of person mailing paper and fee</p> |
|---|

Dear Sir:

The undersigned, Promics Pty Limited, being the assignee of record of the entire interest in the above-identified application by virtue of Assignments concurrently submitted for recordation in the U.S. Patent and Trademark Office, hereby consents to the addition of Michael Whitehouse as a joint inventor in the above-captioned patent application.

ASSIGNEE:

PROMICS PTY LIMITED

By: Alan Scott

Name: Alan Scott

Title: Managing Director

Date: April 4th 2006

Application Number: 10/510,614  
PCT Number: PCT/AU03/00415

The PCT application to which the above-referenced U.S. application claims priority PCT/AU03/00415, was filed listing Stephen Maxwell Taylor, Ian Alexander Shiels, and Lindsay Charles Brown as joint inventors. Michael Whitehouse was inadvertently omitted as a joint inventor to the PCT Application. Subsequently on entry into the U.S. national stage, the inventors for the U.S. application were identified as Stephen Maxwell Taylor, Ian Alexander Shiels, and Lindsay Charles Brown. After filing, it was also determined that Michael Whitehouse was a proper joint inventor of the U.S. application and was so identified on the Declaration.

Therefore, Applicants request the addition of Michael Whitehouse for the present application.

The required processing fee of \$130.00 is authorized to be charged to Deposit Account No. 08-1394. The Commissioner is hereby authorized to charge any further fees associated with this communication, or to credit any overpayment, to Deposit Account of Haynes and Boone, LLP Number 08-1394.

Respectfully submitted,



Mark D. Moore, Ph.D.  
Registration No. 42,903

Date: 05/03/06  
HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Telephone: 713-547-2040  
Facsimile: 214-200-0853



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 19, 2005

PTAS

**\*500055931A\***

**\*500055931A\***

MARK D. MOORE  
901 MAIN STREET  
SUITE 3100  
DALLAS, TX 75202

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/19/2005

REEL/FRAME: 016658/0335  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 36677.30

ASSIGNOR:  
MAXWELL, STEPHEN TAYLOR

DOC DATE: 08/05/2005

ASSIGNOR:  
SHIELDS, IAN ALEXANDER

DOC DATE: 08/05/2005

ASSIGNOR:  
BROWN, LINDSAY CHARLES

DOC DATE: 08/05/2005

016658/0335 PAGE 2

ASSIGNEE:

PROMICS PTY. LIMITED  
BUILDING 64, PHYSIOLOGY &  
PHARMACOLOGY DEPT.  
UNIVERSITY OF QUEENSLAND, ST.  
LUCIA  
QUEENSLAND, AUSTRALIA 4072

SERIAL NUMBER: 10510614  
PATENT NUMBER:  
TITLE: THERAPEUTIC METHOD

FILING DATE:  
ISSUE DATE:

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

10/19/2005  
500055931

| SUBMISSION TYPE:   | NEW ASSIGNMENT                               |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
|--|--|---------------|----------------------|------------------------|--|----------------------|-------------------------------------|-----------------------|------------|----------------|-----------|--------------|------|
| NATURE OF CONVEYANCE:  | ASSIGNMENT                                   |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| CONVEYING PARTY DATA   |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| <table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Stephen Taylor Maxwell</td><td>08/05/2005</td></tr><tr><td>Ian Alexander Shiels</td><td>08/05/2005</td></tr><tr><td>Lindsay Charles Brown</td><td>08/05/2005</td></tr></tbody></table>  |  | Name          | Execution Date       | Stephen Taylor Maxwell | 08/05/2005                                   | Ian Alexander Shiels | 08/05/2005                          | Lindsay Charles Brown | 08/05/2005 |                |           |              |      |
| Name   | Execution Date                               |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Stephen Taylor Maxwell   | 08/05/2005                                   |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Ian Alexander Shiels   | 08/05/2005                                   |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Lindsay Charles Brown  | 08/05/2005                                   |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| RECEIVING PARTY DATA   |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| <table border="1"><tr><td>Name:</td><td>Promics Pty. Limited</td></tr><tr><td>Street Address:</td><td>Building 64, Physiology &amp; Pharmacology Dept.</td></tr><tr><td>Internal Address:</td><td>University of Queensland, St. Lucia</td></tr><tr><td>City:</td><td>Queensland</td></tr><tr><td>State/Country:</td><td>AUSTRALIA</td></tr><tr><td>Postal Code:</td><td>4072</td></tr></table> |  | Name:         | Promics Pty. Limited | Street Address:        | Building 64, Physiology & Pharmacology Dept. | Internal Address:    | University of Queensland, St. Lucia | City:                 | Queensland | State/Country: | AUSTRALIA | Postal Code: | 4072 |
| Name:  | Promics Pty. Limited                         |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Street Address:  | Building 64, Physiology & Pharmacology Dept. |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Internal Address:  | University of Queensland, St. Lucia          |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| City:  | Queensland                                   |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| State/Country:   | AUSTRALIA                                    |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Postal Code:   | 4072   |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| PROPERTY NUMBERS Total: 1  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| <table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10510614</td></tr></tbody></table>   |  | Property Type | Number               | Application Number:    | 10510614                                     |                      |                                     |                       |            |                |           |              |      |
| Property Type  | Number                                       |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Application Number:  | 10510614                                     |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| CORRESPONDENCE DATA  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Fax Number: (214)200-0853<br><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Phone: 713-547-2040  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Email: ipdocketing@haynesboone.com   |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Correspondent Name: Mark D. Moore  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Address Line 1: 901 Main Street  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Address Line 2: Suite 3100   |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| Address Line 4: Dallas, TEXAS 75202  |  |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| ATTORNEY DOCKET NUMBER:  | 36877.30                                     |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |
| NAME OF SUBMITTER:   | Mark D. Moore                                |               |                      |                        |  |                      |                                     |                       |            |                |           |              |      |

CH \$40.00 10510614

Total Attachments: 2

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source=30 executed assignment#page2.tif



PATENT  
FILE NO. 36677.30 (4050.003000)

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Promics Pty Limited (the "Assignee"), having a place of business at Building 64, Physiology & Pharmacology Dept, University of Queensland, St Lucia, Queensland 4072, Australia, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 10/510,614 which has been filed on October 07, 2004 and is entitled "Use of CSA Receptor Antagonist in the Treatment of Fibrosis", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: \_\_\_\_\_

Name: Stephen Maxwell Taylor

Date: \_\_\_\_\_

5<sup>th</sup> August 2005

Witness' Signature: \_\_\_\_\_

Name: \_\_\_\_\_

J. J. Costello

Date: \_\_\_\_\_

5<sup>th</sup> August 2005